

## Solutions 6

1. In the indiscrete topology on  $X$  there are only two open sets ( $\emptyset$  and  $X$ ) and so any open cover of any subset  $A$  is already finite. Hence  $A$  is compact.

2. Suppose that  $K_1$  and  $K_2$  are compact subsets and  $\mathcal{F}$  is an open cover for  $K_1 \cup K_2$ . Then  $\mathcal{F}$  is an open cover for  $K_1$  and so has a finite subcover  $\mathcal{F}_1$  for  $K_1$  and similarly  $\mathcal{F}$  has a finite subcover  $\mathcal{F}_2$  for  $K_2$ . Then  $\mathcal{F}_1 \cup \mathcal{F}_2$  is a finite subcover for  $K_1 \cup K_2$ . Hence  $K_1 \cup K_2$  is compact.

Now suppose that  $K_i$  are compact subsets for  $1 \leq i \leq n$ . We prove that  $\bigcup_{i=1}^n K_i$  is compact by induction on  $n$ . The result is trivial for  $n = 1$ . Suppose as inductive hypothesis that the result is true for  $n = k$ . Then it follows that it is true for  $n = k + 1$  by the above argument since  $\bigcup_{i=1}^{k+1} K_i = (\bigcup_{i=1}^k K_i) \cup K_{k+1}$  proving the inductive step. Hence the result is true for all  $n$ .

For a counterexample, the singleton subset  $\{n\}$  in  $\mathbb{R}$  with the usual topology is compact but the union  $\bigcup_{n \in \mathbb{Z}} \{n\} = \mathbb{Z}$  is not compact.

3. Suppose that  $\mathcal{F}$  is an open cover for a non-empty open subset  $A \subset \mathbb{R}$  in the cofinite topology. For  $a \in A$ ,  $a \in U_0$  for some open subset  $U_0$  in  $\mathcal{F}$ . Then either  $U_0 = \mathbb{R}$ , in which case  $A \subseteq U_0$  so that  $\{U_0\}$  is a finite subcover for  $A$ , or  $U_0 = \mathbb{R} \setminus \{x_1, x_2, \dots, x_n\}$  the complement of some finite set  $\{x_1, x_2, \dots, x_n\}$ . Reordering this finite set if necessary, suppose that  $\{x_1, \dots, x_k\}$  are the points of the finite set which lie in  $A$ . For each such point, since  $\mathcal{F}$  is an open cover for  $A$  there must be some open subset  $U_i \in \mathcal{F}$  such that  $x_i \in U_i$ . Then  $\{U_i \mid 0 \leq i \leq k\}$  is a finite subcover for  $A$  as required to prove that  $\mathbb{R}$  with this topology is compact.

4. (a) This is immediate since each open subset in this topology is open in the usual topology.

(b) Suppose that  $\mathcal{F}$  is an open cover for  $[a, b]$ . Then there must be an open set  $U \in \mathcal{F}$  such that  $a \in U$ . But, by the definition of the topology,  $U = (a_1, \infty)$  for some  $a_1 \in \mathbb{R}$ . Since  $a \in (a_1, \infty)$  we must have  $a_1 < a$  and so  $[a, b] \subseteq (a_1, \infty)$  so that  $\{U\}$  is a finite subcover for  $[a, b]$  consisting of a single open subset. Hence  $[a, b]$  is compact. Similarly,  $[a, \infty)$  is compact.

(c) The open covering  $\{(a + 1/n, \infty) \mid n \geq 1\}$  for  $(a, b]$  has no finite subcover

so  $(a, b]$  is not compact.

The open covering  $\{(-n, \infty) \mid n \geq 1\}$  for  $(-\infty, b]$  has no finite subcover and so  $(-\infty, b]$  is not compact.

**5.** Suppose for contradiction that the subsets  $A_n$  are as in the question but that  $\bigcap_{n=1}^{\infty} A_n = \emptyset$ . Then  $\bigcup_{n=1}^{\infty} (X \setminus A_n) = X \setminus \bigcap_{n=1}^{\infty} A_n = X$  and so  $\{X \setminus A_n \mid n \geq 1\}$  is an open cover for  $X$  and so for  $A_1$ . But now, since  $A_1$  is compact, there must be a finite subcover of  $A_1$ . Now notice that the nesting of the subsets  $A_n$  means that  $X \setminus A_1 \subseteq X \setminus A_2 \subseteq \dots \subseteq X \setminus A_n \subseteq X \setminus A_{n+1} \subseteq \dots$ . Let  $X \setminus A_k$  be the largest subset in the finite subcover. Then  $A_1 \subseteq X \setminus A_k$  (since  $X \setminus A_n \subseteq X \setminus A_k$  for  $n \leq k$  by the nesting of the subsets  $A_n$ ). Hence  $A_k \subseteq A_1 \subseteq X \setminus A_k$  which implies that  $A_k = A_k \cap A_1 = \emptyset$  contradicting the choice of the sets  $A_n$  as non-empty subsets. Hence,  $\bigcap_{n=1}^{\infty} A_n$  is non-empty as required.

[This result is important in dynamical systems.]

**6.** Suppose that  $A$  is a closed subset of a compact Hausdorff space  $X$  and  $b$  is a point of  $X$  such that  $b \notin A$ . Since  $X$  is a Hausdorff space, for each point  $a \in A$  there are disjoint open subsets  $U_a$  and  $V_a$  such that  $a \in U_a$  and  $b \in V_a$ . Then the collection of open subsets  $\{U_a \mid a \in A\}$  is an open cover for  $A$  since each point of  $A$  lies in  $U_a$ , one of the open subsets in the covering. Now, since  $X$  is compact and  $A$  is a closed subset,  $A$  is compact (Proposition 4.6). Hence there is a finite subcover  $\{U_{a_i} \mid 1 \leq i \leq n\}$  for  $A$ . This means that  $A \subseteq \bigcup_{i=1}^n U_{a_i} = U$ , say.  $U$  is a union of open subsets and so is an open subset. Now let  $V = \bigcap_{i=1}^n V_{a_i}$ . Then  $V$  is a finite intersection of open subsets and so is open. By definition  $b \in V_a$  for all  $a$  and so  $b \in V$ . Finally,  $U$  and  $V$  are disjoint. To see this, observe that, for  $1 \leq i \leq n$ ,  $U_{a_i} \cap V_{a_i} = \emptyset$  and so  $U_{a_i} \cap V = \emptyset$  since  $V \subseteq V_{a_i}$ . Hence  $U \cap V = \emptyset$  by the definition of  $U$ . Hence  $U$  and  $V$  are disjoint open subsets as required.

[Notice that we needed the compactness of  $A$  in order to get a finite intersection. Only a *finite* intersection of open subsets can be guaranteed to be open. This style of proof is one of the most important applications of compactness.]

**7.** (a) Problems 4, Question 2.  $X$  is compact because it is a closed bounded set in  $\mathbb{R}^2$  with the usual topology and so  $X/S^1 = q(X)$  is compact since is the continuous image of a compact set.  $D^2$  is Hausdorff since it is a subspace of  $\mathbb{R}^2$  with the usual topology. Hence the function  $F: X/S^1 \rightarrow D^2$

is a continuous bijection from a compact space to a Hausdorff space and is therefore a homeomorphism.

(b) Problems 4, Question 3.  $S^1 \subset \mathbb{R}^2$  and  $[-1, 1] \subset \mathbb{R}$  are compact as closed bounded sets in Euclidean spaces with the usual topology and so  $S^1 \times [-1, 1]$  is compact as the product of two compact spaces. Hence the identification space in the question is compact since it is the continuous image of a compact set.  $S^2$  is Hausdorff since it is a subspace of  $\mathbb{R}^3$  with the usual topology. Hence the function  $F$  in the solution is a continuous bijection from a compact space to a Hausdorff space and is therefore a homeomorphism.

(c) Problems 4, Question 4.  $S^1$  and  $[-1, 1]$  are compact (both closed bounded sets in Euclidean spaces with the usual topology) and so the product  $S^1 \times [-1, 1]$  is compact. Hence  $S^1 \times [-1, 1]/\sim = q(S^1 \times [-1, 1])$  is compact.  $S^1$  is Hausdorff (subspace of Euclidean space) and so  $S^1 \times S^1$  is Hausdorff. Hence the function  $F$  in the solution is a continuous bijection from a compact space to a Hausdorff space and is therefore a homeomorphism.

(d) Problems 4, Question 6.  $P^2$  is the continuous image of compact  $S^2$  and so is compact.  $F(P^2)$  is a subspace of  $\mathbb{R}^4$  and so is Hausdorff. Hence the continuous bijection  $F: P^2 \rightarrow F(P^2)$  is a homeomorphism.

(e) Problems 4, Question 8.  $D^2 \subset \mathbb{R}^2$  is compact as a closed bounded subset of a Euclidean space with the usual topology and so the identification space in the question is compact since it is the continuous image of a compact set.  $P^2$  is Hausdorff because, by Problems 4, Question 6 and Problems 7, Question 7(d), it is homeomorphic to a subspace of  $\mathbb{R}^4$  with the usual topology. Hence the continuous function  $F$  in the solution is a continuous bijection from a compact space to a Hausdorff space is a homeomorphism.

**8.** (a) We prove that  $b \in K$  by contradiction. Suppose for contradiction that  $b \notin K$  so that  $b \in \mathbb{R} \setminus K$ , an open set. Then by the definition of the open sets in the usual topology there is a real number  $\varepsilon > 0$  such that  $(b - \varepsilon, b + \varepsilon) \subseteq \mathbb{R} \setminus K$  so that  $b - \varepsilon$  is an upper bound for  $K$ , contradicting the definition of  $b$  as the *least* upper bound. Hence  $b \in K$ .

(b) By a similar argument, if  $a = \inf K$  (the greatest lower bound) then  $a \in K$ . Hence  $K \subseteq [a, b]$ ,  $a \in K$  and  $b \in K$ . However, since  $K$  is a path-connected there is a path in  $K$  from  $a$  to  $b$  and by the Intermediate Value Theorem (Theorem 0.23) every point of  $[a, b]$  lies on this path. Hence  $[a, b] \subseteq K$ . Hence  $K = [a, b]$ .

(c) If  $f: X \rightarrow \mathbb{R}$  is a continuous function from a non-empty path-connected compact space  $X$  then  $f(X)$  is a non-empty path-connected compact subset of  $\mathbb{R}$  since the continuous image of a path-connected space is path connected (Problems 1, Question 5) and the continuous image of a compact set is compact (Proposition 4.3). Hence  $f(X)$  is a closed interval  $[a, b]$  by part (b).

**9.** In the example given,  $I_0 = (0, 1)$  which divides into two subintervals  $(0, 1/2]$  and  $[1/2, 1)$ . The second of the subintervals lies in  $(1/3, 1)$  and so there is a finite subcover for this subinterval. However, there is not for  $(0, 1/2]$  and so  $a_1 = 0$  and  $b_1 = 1/2$ . This interval divides into two subintervals  $(0, 1/4]$  and  $[1/4, 1/2]$ . The second of these lies in  $(1/5, 1)$  but there is no subcover for the first. Hence  $a_2 = 0$  and  $b_2 = 1/4$ . Continuing in this way we find that  $a_n = 0$  for all  $n$  and  $b_n = 1/2^n$  so that  $\alpha = \lim_{n \rightarrow \infty} a_n = \lim_{n \rightarrow \infty} b_n = \alpha = 0$ . At this point the proof breaks down because  $\alpha = 0 \notin (0, 1)$  and  $\alpha = 0$  does not lie in any open set of the cover.

**10.** First check that  $\tau^*$  is a topology. Indeed  $X^* = X \setminus \emptyset \cup \{\infty\} \in \tau^*$  and  $\emptyset \in \tau \subset \tau^*$ . Moreover, if  $U, V \in \tau^*$  then  $U \cap V \in \tau^*$  this is clear if both are in  $\tau$ . Assume  $U \in \tau$  and  $V = X \setminus C \cup \{\infty\}$  then

$$U \cap V = U \cap (X \setminus C) \in \tau \subset \tau^*,$$

since  $C$  is closed in  $X$  by the Hausdorff property. Assume  $U = X \setminus C \cup \{\infty\}$  and  $V = X \setminus K \cup \{\infty\}$  then

$$U \cap V = X \setminus (C \cup K) \cup \{\infty\} \in \tau^*,$$

since a finite union of compact sets is compact by Problem 6.2.

Now, consider a union of open sets in  $\tau^*$ .

$$\bigcup_{\lambda} U_{\lambda} \cup \bigcup_{\mu} V_{\mu}.$$

with  $U_{\lambda} \in \tau$  and

$$V_{\mu} = X \setminus C_{\mu} \cup \{\infty\}.$$

Now,  $U = \bigcup_{\lambda} U_{\lambda} \in \tau \subset \tau^*$  since  $\tau$  is a topology and

$$V = \bigcup_{\mu} V_{\mu} = X \setminus \bigcap_{\mu} C_{\mu} \cup \{\infty\} \in \tau^*,$$

since  $\bigcap_{\mu} C_{\mu}$  is a closed subset of a compact set (of every  $C_{\mu}$ ), hence, it is compact. Now it remains to show that  $U \cup V$  for  $U \in \tau$  and  $V = X \setminus C \cup \{\infty\}$  is open:

$$U \cup V = X \setminus (C \cap (X \setminus U)) \cup \{\infty\}.$$

But  $(X \setminus U)$  is closed in  $X$  hence  $(C \cap (X \setminus U)) \subset C$  is a closed subset of a compact set. Hence, it is compact and  $X \setminus (C \cap (X \setminus U)) \cup \{\infty\} \in \tau^*$ .

Now, consider an open cover  $\mathcal{F}$  of  $X^*$ . In order to cover  $\infty$  it has to include at least one open subset  $U_{\infty}$  of the form  $X \setminus C \cup \{\infty\}$  where  $C \subset X$  is compact. Now,  $\mathcal{F}' = \{U \cap X \mid U \in \mathcal{F}\}$  is an open cover of  $X$  (since  $U$  and  $X$  are open in  $X^*$ ) and hence of  $C$ .

By compactness of  $C$  a finite subcover  $\{U_1 \cap X, \dots, U_m \cap X\} \subset \mathcal{F}'$  suffices to cover  $C$ . But then one has the finite subcover  $\{U_{\infty}, U_1, \dots, U_m\} \subset \mathcal{F}$ .